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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. SAEG129.007APC 10/518,216 Norio Murase

INTERNATIONAL APPLICATION NO.

PCT/JP03/05648 I.A. FILING DATE PRIORITY DATE

05/06/2003

06/19/2002

20995 KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR **IRVINE, CA 92614** 

**CONFIRMATION NO. 5734 371 FORMALITIES LETTER** \*OC000000016657470\*

Date Mailed: 08/01/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/16/2004
- Copy of the International Search Report filed on 12/16/2004
- Information Disclosure Statements filed on 02/22/2005
- U.S. Basic National Fees filed on 12/16/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(f)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$260 for a Large Entity:

- \$130 Late oath or declaration Surcharge.
- \$130 for English translation surcharge required.





ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/518,216	PCT/JP03/05648	SAEG129.007APC

FORM PCT/DO/EO/905 (371 Formalities Notice)

JC06 REP PCT/PTO 2.6 SEP 2005

# Response to Missing Requirements Under 35 USC § 371

Attorney Docket No.: SAEG129.007APC
First Named Inventor: Norio MURASE, et al.
Int'l Application No.: PCT/JP2003/005648

US Application No.: 10/518,216 <

Entered National Phase: December 16, 2004

Title: SEMICONDUCTOR SUPERFINE PARTICLE PHOSPHOR

AND LIGHT EMITTING DEVICE

Direct all correspondence to Customer No.: 20995

Date: September 23, 2005

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**Mail Stop PCT** 

United States Patent and Trademark Office PO Box 1450 Alexandria, VA 22313-1450 I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

September 23, 2005

(Date)

Katsuhiro Arai, Reg. No. 43,315

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

- (X) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
- (X) Copy of the Notification of Missing Requirements under 35 USC 371 dated August 1, 2005.
- (X) A Declaration and Power of Attorney signed by the inventors in two (2) pages.
- (X) An English translation of the International Application (35 USC 371(c)(2)) in 32 pages.
- (X) Preliminary Amendment in six (6) pages.
- (X) 3 sheets of Drawings.
- (X) PCT Request Form.
- (X) Verification of Translation.
- (X) Return prepaid postcard.

## Response to Missing Requirements Under 35 USC § 371

Attorney Docket No.: SAEG129.007APC First Named Inventor: Norio MURASE, et al.

Int'l Application No.: PCT/JP2003/005648

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#### FILING FEES NOT YET PAID:

FEE CALCULATION						
FEE TYPE		LARGE FEE	CALCULATION	TOTAL		
Late Oath/Decl.	37 CFR § 1.492(h)	1617 (\$130)		\$130		
Basic Fee		1631 (\$300)		(already paid)		
Search Fee		1632 (\$500)		\$500		
Examination Fee		1633 (\$200)		\$200		
Excess Claims	28 - 20 = 8	1615 (\$50)	8 x 50 =	\$400		
Excess Ind. Claims	1 - 3 = 0	1614 (\$200)	0 x 200 =	\$0		
			SUB TOTAL	\$1,230		
Late English Trans 37 CFR § 1.492(i)		1618 (\$130)		\$130		
			TOTAL FEE DUE	\$1,360		

(X) A check in the amount of \$1,360 is enclosed to cover the above fees.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1410.

10/03/2005 GFREY1 00000230 10518216

 01 FC:1617
 130.00 09

 02 FC:1615
 400.00 09

 03 FC:1618
 130.00 09

 04 FC:1206
 700.00 09

Katsuhiro Arai Registration No. 43,315 Attorney of Record Customer No. 20,995 (949) 760-0404

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